ATTORNEY'S DOCKET 020431.0776

09/972,127



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Sanjay Kumar, et al.

Serial No.:

09/972,127

Filing Date:

October 4, 2001

For:

Collaborative Fulfillment in a Distributed Supp

Environment

**Assistant Commissioner for Patents** Washington, DC 20231

RECEIVED

SEP 2 3 2002

Technology Center 2600

Dear Sir:

# **CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the attached Information Disclosure Statement with four (4) references are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 17th day of September, 2002, and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Express Mail Receipt No. EL953642023US Attorney's Docket No.: 020431.0776

> RECEIVED SEP 2 5 2002 **GROUP 3600**

DAL01:696357



PATENT 09/972,127

#

4

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1

re Application of:

Sanjay Kumar, et al.

Serial No.:

09/972,127

Date Filed:

October 4, 2001

Title:

Collaborative Fulfillment in a Distributed Supply Chain

Environment

Honorable Assistant Commissioner

for Patents

Washington, DC 20231

RECEIVED

SEP 2 3 2002

**Technology Center 2600** 

Dear Sir:

## INFORMATION DISCLOSURE STATEMENT

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that these references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present application, or that these references qualify as prior art.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97(b).

RECEIVED
SEP 2 5 2002
GROUP 3600

2

Although Applicants believe no fee is due, the Commissioner is hereby authorized to charge any fee to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

conce

Christopher W. Kennerly

Reg. No. 40,675

Attorneys for Applicants

CWK/bt

Correspondence Address: Baker Botts L.L.P. 2001 Ross Avenue, 6th Floor Dallas, Texas 75201-2980 (214) 953-6812

Date: 9/17/02

TO 1840			Application No.	— — — — — — — — — — — — — — — — — — —	Applicant(s)		Page 1	
Information Disclosure Citation In an Application			09/972.127					
			Docket Number	Group Art Unit		Sanjay Kumar, et al. Filing Date		
			020431.0776		0 1 1 4 2001			
	<del>-%\</del>		020431.0770	2641	<u> </u>	October 4,	2001	
7 200	OCUMENT NO.	,	J.S. PATENT DOCUM	MENTS				
DEMA	OCUMENT NO.	DATE	NAME	CLASS	SUBCLAS	S FILING	G DAT	
A	US 6,321,133 B1	11/20/01	Smirnov et al.	700	100	12/0	04/98	
В	US 2002/0013721 A1	01/31/02	Dabbiere et al.	705	7	05/2	05/21/01	
С	US 2002/0019761 A1	02/14/02	Lidow	706	10	01/	11/01	
D								
E								
F					RECEI	VED		
G					SEP 2 3 2002			
H		RECE	EIVED					
I				7	Technology Center 2600			
J			2 5 2002				<u>-</u>	
K		GROU	IP 3600					
L								
				L				
		FOF	REIGN PATENT DOO	CUMENTS				
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	S TRANS	LATI	
D.T.						YES	N	
N 							ļ	
O								
P								
Q								
R								
	1					1		
	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)					DA	DATE	
	Funaki, et al., "Method and System for Production Planning Transaction," JP02001331693A, EAST Version 1.03.0002, 58 pages					04/09	04/09/2002	
S	EAST Version 1.03.00	, ,	PCT, Notification of Transmittal of the International Search Report or the Declaration, 3 pages					
S		-	International Search Rep	oort or the Declarat	tion, 3 pages	29/08	3/2002	
		-	e International Search Rep	port or the Declarat	tion, 3 pages	29/08	3/2002	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE